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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/451,739	11/30/1999	DIRK JAGER	LUD-5615 9448	
24972 7	7590 05/14/2003			
FULBRIGHT & JAWORSKI, LLP			EXAMINER	
666 FIFTH AVE NEW YORK, NY 10103-3198			NICKOL,	GARY B
	•		ART UNIT	PAPER NUMBER
			1642	24
			DATE MAILED: 05/14/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

and the same of th	Application No.		Applicant(s)				
	09/451,739		JAGER ET AL.				
Office Action Summary	Examiner		Art Unit				
	Gary B. Nickol		1642				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status							
1)⊠ Responsive to communication(s) filed on <u>11 March 2003</u> .							
2a) ☐ This action is FINAL. 2b) ☑ The	his action is non	⊩final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims							
4) Claim(s) 80-97 is/are pending in the application.							
4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>80 and 85-97</u> is/are rejected.							
7)⊠ Claim(s) <u>81-84</u> is/are objected to.							
8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers							
9)⊠ The specification is objected to by the Examiner.							
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.							
If approved, corrected drawings are required in reply to this Office action.							
12) The oath or declaration is objected to by the Examiner.							
Priority under 35 U.S.C. §§ 119 and 120							
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:							
1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No							
Copies of the certified copies of the priority documents have been received in this National Stage							
application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.							
14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).							
 a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. 							
Attachment(s)							
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 6 	4) [5) [<u>5</u> . 6) [Notice of Informal	y (PTO-413) Paper No(s) Patent Application (PTO-152)				

DETAILED ACTION

The Election filed March 11, 2003 (Paper No. 23) in response to the Office Action of

February 14, 2003 is acknowledged and has been entered.

Claims 1-79 were cancelled.

Claims 80-97 were added and are currently pending.

Applicant's election with traverse of Group XIII, claim 79 in Paper No 23 is

acknowledged. The traversal is on the ground(s) that the subject matter of Claim 79 de facto

incorporates the subject matter of Group I, drawn to isolated nucleic acids including SEQ ID

Nos: 4, 8, or 15. This argument has been considered and is found persuasive.

Specification

The preliminary amendment filed March 2, 2000 (Paper No. 5) is objected to. The

attempt to change "set out at" to --- included in--- was not entered because it appears the section

referenced to in the specification (page 12, line 10) was incorrect.

Further, on page 12, line 11, after the word "acids" the specification has been amended to

recite "This is set forth as SEQ ID NO:6".. as requested in Paper No. 5. However, a second

preliminary amendment filed June 27, 2000 (Paper No. 7) also requested a similar change on

page 12, line 11 which inserted the text, "See SEQ ID NO:6". Applicant is requested to cancel

one of these recitations to avoid redundancy. All of the other amendments have been properly

incorporated into the specification.

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Further, the specification on page 11, is objected to. Specifically, the 21 nucleotide

sequence for SEQ ID NO:11 appears to be incorrect because the last nucleotide is a listed as "C",

but the as filed sequence listing reveals that the last nucleotide of SEQ ID NO:11 is a "T".

Clarification and corrections are requested.

Ciaim Objections

Claim 86 is objected to under 37 CFR 1.75 as being a substantial duplicate of claim 87.

(This also makes claims 88 and 89, 90 and 91, etc.. duplicates of each other). When two claims

in an application are duplicates or else are so close in content that they both cover the same

thing, despite a slight difference in wording, it is proper to object to the claims as being

substantial duplicates. See MPEP § 706.03(k).

Claims 81-84 are objected to as being dependent upon a rejected base claim, but would

be allowable if rewritten in independent form including all of the limitations of the base claim

and any intervening claims.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the

subject matter which the applicant regards as his invention.

Claims 80, and 85-96 are indefinite for reciting the phrase "stringent conditions" in Claim

80. Stringent conditions are not defined by the claims, which include the full range of stringent

conditions, that is from very permissive to very high stringency. Further, the specification does

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not provide a standard for ascertaining the requisite degree of stringent conditions and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention and would not be able to determine the metes and bounds of the claims.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 80, and 85-96 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The written description in this case only sets forth an isolated nucleic acid molecule comprising SEQ ID NO:4, 8, or 15 and or the "complete" complement thereof, and therefore the written description is not commensurate in scope with the claims drawn to naturally occurring polynucleotide sequences and or complementary sequences which hybridize under stringent conditions.

The claims are broadly drawn to an isolated nucleic acid molecule, the complementary sequence of which hybridizes, under stringent conditions, to one of the nucleotide sequences set forth in SEQ ID NO:4, 8, or 15.

Thus, the claims broadly include a whole universe of polynucleotide fragments. Clearly, it would be expected that a substantial number of the hybridizing or complementary polynucleotides encompassed by the claims **would not** share either structural or functional

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properties with polynucleotides of SEQ ID NO:4, 8, or 15. Further, the claims do not require that the polynucleotides possess any particular biological activity, nor any particular conserved structure, or other disclosed distinguishing feature. Thus, the claims are drawn to a genus of polynucleotides defined only by sequence identity.

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To provide adequate written description and evidence of possession of a claimed genus, the specification must provide sufficient distinguishing identifying characteristics of the genus. The factors to be considered include disclosure of complete or partial structure, physical and/or chemical properties, functional characteristics, structure/function correlation, methods of making the claimed product, or any combination thereof. In this case, the only factor present in the claim is a chemical structure in the form of a wide variety of potential hybridizing nucleic acids. Further, there is no identification of any particular portion of the structure that must be conserved. Accordingly, in the absence of sufficient recitation of distinguishing identifying characteristics, the specification does not provide adequate written description of the claimed genus.

Was-Cath Inc. V. Mahurkar, 19 USPQ2d 1111, clearly states that "applicant must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention. The invention is, for purposes of the 'written description' inquiry, whatever is now claimed." (See page 1117). The specification does not "clearly allow persons of ordinary skill in the art to recognize that [he or she] invented what is claimed." (See Vas-Cath at page 1116).

With the exception of SEQ ID NOs: 4, 8, or 15, the skilled artisan cannot envision the detailed structure of the encompassed polynucleotides and therefore conception is not achieved

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until reduction to practice has occurred, regardless of the complexity or simplicity of the method of isolation. Adequate written description requires more than a mere statement that it is part of the invention and a reference to a potential method of isolating it. The nucleic acid itself is required. See *Fiers v. Revel*, 25 USPQ 2d 1601 at 1606 (CAFC 1993) and *Amgen Inc. V. Chugai Pharmaceutical Co. Lts.*, 18 USPQ2d 1016.

Therefore, only an isolated nucleic acid molecule comprising SEQ ID NO:4, 8, or 15 and or the complete complements thereof meets the written description provision of 35 U.S.C. §112, first paragraph. Applicant is reminded that *Vas-Cath* makes clear that the written description provision of 35 U.S.C. §112 is severable from its enablement provision (see page 1115).

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 80, 85-87, and 96 are rejected under 35 U.S.C. 102(b) as being anticipated by GARKAVSTEV et al. (WO 97/21809, June 19, 1997).

Garkavstev *et al.* teach an isolated nucleic acid molecule with 70% overall sequence similarity to SEQ ID NO:4 and 35% overall similarity to SEQ ID NO:8 (see attached sequence listings at the end of this Action) each of which would inherently hybridize under stringent conditions to SEQ ID NO:4. Garkavstev *et al.* further teach expression vectors operably linked to

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a promoter comprising said nucleic acid molecule (page 19, lines 15-21), recombinant cells

transformed or transfected with said isolated nucleic acids (page 5, lines 5+), and viral

expression vectors (page 20, lines 20+) which would inherently be characterized as mutated or

attenuated.

No claim is allowed.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Gary B. Nickol Ph.D. whose telephone number is 703-305-7143.

The examiner can normally be reached on M-F, 8:30-5:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Anthony Caputa can be reached on 703-308-3995. The fax phone numbers for the

organization where this application or proceeding is assigned are 703-305-3014 for regular

communications and 703-308-4242 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 703-308-0196.

Gary B. Nickol, Ph.D.

Page 7

Examiner

Art Unit 1642

GBN

May 12, 2003

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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              Partial ING1 partial cDNA sequence
                                                                        This is the nucleotide sequence of a human ING1 (INhibitor of Growth) partial cDNA clone that codes for a pl33ING1 polypeptide (see AAW79674), a novel inhibitor of cell growth and a candidate tumour suppressor. ING1 is a new gene that is expressed in normal mammary epithelial cells, but which is expressed only at lower levels in several cancerous mammary epithelial cells in several cancerous mammary epithelial cells in several cancerous mammary epithelial cells in several cancerous mammary epithelial cell lines and is not expressed in many primary brain tumours. To isolate ING1, a subtractive hybridisation of breast cancer cell line cDNAs was subtractive hybridisation of breast cancer cell line cDNAs was
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              WO9844102-A2
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                                                                                                                                                                                                                                                         Modulating eukaryotic apoptosis by increasing p33ING1 activity - using p33ING1 derivatives, to induce apoptosis in cancer cells, and in the investigation of apoptotic pathways
                                                                                                                                                                                                                                                                                                                            P-PSDB;
                                                                                                                                                                                                                                                                                                                                             WPI; 1998-542700/46.
                                                                                                                                                                                                                                                                                                                                                                           Garkavtsev I,
                                                                                                                                                                                                                                                                                                                                                                                                            (UYTE-) UNIV TECHNOLOGIES INT INC
                                                                                                                                                                                                                                                                                                                                                                                                                                             27-MAR-1997;
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                                                              performed with cDNA from normal mammary epithelial cells, and
                                                                                                                                                                                                                             Example 2; Fig 2; 66pp;
                                           btracted cDNAs were cloned into retrovirus vector pLNCX.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 ANAGCGCAAGTGGTACTGTTCCAGATGCCGGGGAAAGAACG-----ATGGGCAAAGC
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n tumour; gene t
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                                                                                                                                                                                                                                                                                                                            AAW79674.
             passage through a packaging line, normal mouse mammary l cells were infected, and infected cells were injected
            cells were
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gene therapy; tumour suppressor; sls.
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transforming
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fragments
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Best Local Similarity
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            A claimed method for inhibiting apoptosis in a eukaryotic cell involves administering an antisense oligonucleotide. Also claimed are a method for determining the apoptotic characteristics of a eukaryotic cell, an assay for determining the level of p33ING1 activity in a eukaryotic cell, and an isolated eukaryotic cell substantially free of p33ING1 biological activity. The invention discloses ING1 derivatives or variants that may be used to induce
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        the partial ING1 sequence. The complete cDNA sequence (see AAV62292) was obtained by RACE. A claimed method to potentiate apoptosis in a eukaryotic cell involves administering an active
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                Sequence 1902 BP; 574 A; 390 C; 462 G; 476 T; 0 other;
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           p331NG1 peptide or an oligonucleotide encoding such as a peptide. A claimed method for inhibiting apoptosis in a eukaryotic cell
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                                                                                                                                                                                                                                                                                                                                                                                                                                         CCACGACGACGTCACCTCGGGCACGCCCAAGGAGAAGAAAGCCCAGACCTCTAAGAAGAA 121
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                                                                                                                          CAACGAACCCACGTACTGTCTGTGCAACCAGGTCTCCTATGGGGAGATGATCGGCTGCGA
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GT-GTGAGGAGGACAAAATAAACC-GTGTATTTATTACATTGCTGCCTTTGTTGAGGTGC
                                                                                 ATAGTGAGGAGAACAAAATAAGCCAGTGTGTTGATTACATTGCCACCTTTGCTGAGGTGC
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80.8%;
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Pred. No. 2e-64;
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                                         diagnosis; gene therapy; ss.
                                                             Tumour suppressor gene; ING1; p33ING1; breast cancer; brain cancer;
                                                                                                       Tumour suppressor gene ING1 full-length cDNA
                                                                                                                                               27-AUG-1997
                                                                                                                                                                                           AAT69652;
                                                                                                                                                                                                                                     AAT69652 standard; cDNA;
                                                                                                                                               (first entry)
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Homo sapiens.

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367-NAC-67
  4-608,TEL60M
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AAH28479
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                                                                                                     Isolated cancer associated nucleic acid molecule identified by SE (serological identification of antigens by recombinant expression cloning) technique, useful in nucleic acid based therapies to trecancer -
                                                                                                                                                                                                      (LUDW-)
(SLOK )
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                                                                                                                                                     WPI; 2001-441706/47.
P-PSDB; AAB84697.
                                                                                                                                                                                  Jager D,
                                                                                                                                                                                                                                                                                                                                                                                                                                Cancer associated
                                                                                                                                                                                                                                             30-NOV-1999; 99US-0451739.
24-OCT-2000; 2000US-0602362.
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                                                                           Example 4; Page 44; 62pp; English.
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                                            essent sequence encodes a human cancer associated antigen.
                                                                                                                                                                                                    LUDWIG INST CANCER RES.
SLOAN KETTERING INST CANCER
CORNELL RES FOUND INC.
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/transl_except= "(pos: 124..126, a:
/poroduct= "cancer asscociated ant:
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16..900
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                                                                                                                                                                                                                                                                                                                                                                                                                             antigen; ING1; tumour suppressor; cancer;
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RESULT 9
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Best Local
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Jumour suppressor gene; ING1;
diagnosis; gene therapy; ss.
                                       Tumour suppressor
                                                                  27-AUG-1997
                                                                                           AAT69651;
                                                                                                                   AAT69651 standard; cDNA;
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        suppressor gene candidate. The cancer associated antigen polynucleotides and polypeptides are useful for screening for the possible presence of a pathological condition in a subject such as cancer. The cancer associated antigen polypeptides are useful for producing vaccines.
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95.68;
                                      ING1 partial cDNA
                                                                                                                   1902
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                Score 605.6;
Pred. No. 3.7e
0; Mismatches
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              p33ING1; breast cancer; brain cancer;
                                                                                                                  ВP
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ies 29;
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Location/Qualifiers 109..741
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Best Local Similarity
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     tumour suppressor protein p33ING1 (AAW19118) that is a potent inhibitor of cell growth. It was isolated by subtractive hybridisation between normal mammary and transformed epithelial char, isolation of an antisense ING1 cDNA insert that caused increased cell proliferation, and use of the insert to screen normal human fibroblast and HeLa cDNA libraries. A complete ING1 sequence (AAT69652) was obtd. by 5'RACE. ING] is localised to the 1393-34 chromosome region, to which a number of human cancers have been mapped. ING1 nucleic acids can be used in the diagnosis of breast cancer; a decreased level of ING1 mRNA indicates cancerous cells. They can also be used in gene therapy methods to block the
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P-PSDB; AAW19118.
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08-DEC-1995;
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 A partial cDNA clone (AAT69651),
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            treatment or diagnosis of cancer
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 Isolated tumour suppressor gene, ING1 - useful to develop products for inhibiting or increasing cell proliferation, in particular for
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               (UYTE-) UNIV
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    Sequence 1902 BP; 574 A; 391 C; 461 G; 476 T; 0 other;
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           proliferation of cancer cells.
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  GGCACACCCAAGGAGAAGGACCAAGACCTCCAAGAAGAAGAAGAAGCGCTCCAAGGCCAAG
                                                                                                                    CCCAAAGGCGAGGCGGCAGGCTGACAAGCCCAACAGCAAGCGCTCACGGCGGCAG
                                                                                                                                                                                                   GAGGCGCAGCAGGAGCTGGGCGACACAGTGGGCAACAGCGGCAAGGTTGGCGCGGACAGG
                                       CGCAACAACGAGAACCGTGAGAAACGCGTCCAGCAACCACGACCACGACGACGACGACGCCTCG
                                                                              CGCAACAACGAGAACCGTGAGAACGCGTCCAGCAACCACGACGACGACGACGCCCTCG
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95US-0569721.
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Score 605.6; DB 18 Pred. No. 3.8e-109;
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   This is the nucleotide sequence of a human ING1 (INhibitor of Growth) partial cDNA clone that codes for a pi33ING1 polypeptide (see AAW79674), a novel inhibitor of cell growth and a candidate tumour suppressor. ING1 is a new gene that is expressed in norma mammary epithelial cells, but which is expressed only at lower levels in several cancerous mammary epithelial cell lines and is
                                                                                                                                                                                                                                                                                                                                                                                                                                                                           Garkavtsev I,
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        CDS
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    AAV62285 standard; cDNA; 1902 BP
              subtracted cDNAs were cloned into retrovirus vector pLNCX. Following passage through a packaging line, normal mouse mammary epithelial cells were infected, and infected cells were injected into nude mice. Putative transforming fragments from tumours were isolated by PCR (see AAV62290-91) and subcloned into LNCX. An INGI fragment was obtained and used to screen normal human fibroblast fragment was obtained and used to screen normal obtain and HeLa cell cDNA libraries. 2 Clones were sequenced to obtain
                                                                                                                                      not expressed in many primary brain tumours. To isolate ING1 subtractive hybridisation of breast cancer cell line cDNAs was performed with cDNA from normal mammary epithelial cells, and
                                                                                                                                                                                                                                                                                                                                               Modulating eukaryotic apoptosis by increasing p33ING1 activity - using p33ING1 derivatives, to induce apoptosis in cancer cells, a in the investigation of apoptotic pathways
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               27-MAR-1997;
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    08-OCT-1998
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                                                                                                                                                                                                                                                                                                              Example 2; F1g 2; 66pp;
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           (UYTE-) UNIV TECHNOLOGIES INT INC
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  26-MAR-1998;
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partial ING1
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         tumour;
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                         Helbing CC,
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      Location/Qualifiers 109..741
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 sequence.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         human; apoptosis; cell death; breast cancer; therapy; tumour suppressor; ss.
                                                                                                                                                                                                                                                                                                              English.
                                                                                                                                                                                                                                                                                                                                                                                                                                                                           Johnston
The complete cDNA sequence
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                                                                                                                                                                                                                                                                                                                                                                                                                                                                            Riabowol
                                                                                                                                                      o isolate ING1,
line cDNAs was
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